USA PATRIOT Section 326

Customer Identification Program

The USA Patriot Act, Section 326 mandates all financial institutions to implement a Customer Identification Program (CIP) as a tool to protect the U.S. financial system from money laundering, terrorist financing, identity theft and other forms of fraud. As part of the CIP, BGC Financial L.P. (BGCF) must publish a disclosure notice to new customers in order to acquaint them with the new information requirements. Please refer to the Disclosure Notice below.

DISCLOSURE NOTICE

To help the government fight the funding of terrorism and money laundering activities, the USA PATRIOT Act, a Federal law, requires all financial institutions to obtain, verify, and record information that identifies each person and each legal entity that opens an account. What this means for you: When you or your firm open an account, we will ask for some basic information that will allow us to identify you. If you are opening an account on behalf of a business entity, documents relating to its formation, existence and authority may also be requested. If you have any questions, please contact at ClientRefresh@cantor.com, or mail it to:

BGC Financial L.P.
Attn: Compliance
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